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AMENDMENT UNDER 37 C.F.R. §1.111

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| Attorney Docket No. | UCAL-234 | | | | |
|---------------------------------|------------------------|--|--|--|--|
| Confirmation No. | 1891 | | | | |
| First Named Inventor | CHEN, LIN-FENG | | | | |
| Application Number | 09/884,875 | | | | |
| Filing Date | June 18, 2001 | | | | |
| Group Art Unit | 1636 | | | | |
| Examiner Name | LEFFERS JR., GERALD G. | | | | |
| Title: "METHODS FOR IDENTIFYING | | | | | |
| MODULATORS OF NF-KB ACTIVITY" | | | | | |

Sir:

This amendment is responsive to the Office Action dated June 6, 2003 for which a three-month period for response was given making this response due on or before September 6, 2003. This due date fell on a weekend, making this amendment timely filed on the next business day, which is September 8, 2003. In view of the amendments to the claims and the remarks put forth below, reconsideration and allowance are respectfully requested.

12-17-03

TEC 1 5 2003 Please type a plus sign (+) inside this box → +

PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | Application Number | 09/884,87 | 5 | |
|--|---|---|---|-------|---|
| TRANSMITTAL FORM | | Filing Date | June 18, 2001 | | |
| | | First Named Inventor | CHEN, LIN-FENG | | |
| | | Group Art Unit | 1636 | | |
| (to be used for all correspondence after initial filing) | | Examiner Name | LEFFERS JR., GERALD G. | | |
| Total Number of Pages in This Submission | | Attorney Docket Number | UCAL-234 | | |
| | TOTA AGOS III TIIIS GASIIIIISSI | | ES (check all that apply) | | |
| Amendment After F Affidate Affidate Extension of Express Aba Information Certified Co Documents Response to Incomplete A | tached / Reply inal vits/declaration(s) Time Request andonment Request Disclosure Statement by of Priority Missing Parts/ | Assign (for an Drawin Licens Petition Provisi Power Chang Addres Termin Reque | ment Papers Application) ng(s) ing-related Papers n n to Convert to a ional Application of Attorney, Revocation e of Correspondence | | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Communication (1 pg.) Copy of Notice of Non-Responsive Amendment (1 pg.) Copy of Amendment as filed on September 8, 2003 (9 pgs.) Return Postcard |
| | SIGNA | TURE OF APPL | ICANT, ATTORNEY, OR | AGENT | |
| Signing Attorney/Agent (Reg. No.) EDWARD J. BABA, 52,581 BOZICEVIC, FIELD & FRANCIS LLP | | | | | |
| Signature | Tomp | b. | | | |
| Date | December 15, 2003 | <u> </u> | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NO./ FILING DATE FIRST NAMED INVENTOR / ATTORNEY DOCKET NO. PATENT IN REEXAMINATION



EXAMINER

Gerald G. Leffers Jr.

ART UNIT PAPER

20031129

DATE MAILED:

1636

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents

Notice of Non-Responsive Amendment

The communication filed on 9/8/03 is not fully responsive to the Office communication mailed on 6/6/03. Specifically, the response is largely illegible at the bottom of each page. For example, the examiner cannot even make out any part of amended claim 6. This may well be a result of poor scanning in to the new IFW format. At this point, it appears applicants may well have overcome outstand rejections under 112 2nd paragraph, but the examiner cannot reach a final decision unless a legible copy of each claim, and all of applicants' arguments, is in the response. It would be remedial to send in a second, legible copy of the response. Please send the copy of the response directly to (703) 872-9306. To the extent this is an error in scanning applicants' response, the examiner apologizes.

Applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to submit an amendment in compliance with 37 CFR 1.121, effective, March 21, 2001, in order to avoid abandonment.

EXTENSIONS OF THIS TIME MAY BE GRANTED UNDER 37 CFR 1.136(a).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gerald G. Leffers Jr. whose telephone number is (703)-308-6232. The examiner can normally be reached on Monday through Friday, 9:30 am to 6:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Remy Yucel can be reached at (703) 305-1998. The fax number for the organization where this application or proceeding is assigned is (703) 305-1998.

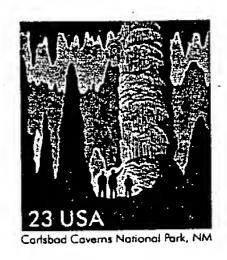
Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to Zeta Adams whose telephone number is (703) 305-3291.

Gerald G. Leffers Jr., PhD. Examiner, Art Unit 1636

GERRY LEFFERS
PRIMARY EXAMINER

Gerald G Leffers Jr., PhD Primary Examiner Art Unit: 1636





BOZICEVIC, FIELD & FRANCIS LLP 200 MICOLEFIELD RD., SUITE 200 MENLO PARK, CA 94025

Atty/Sec: CLF/mpc

Atty Docket No. UCAL-234

Application No.: 09/884,875

Confirmation No.: 1891

Inventor(s): CHEN, LIN-FENG

Title: "METHODS FOR IDENTIFYING MODULATORS OF NF-KB ACTIVITY"

Enclosure(s):

Transmittal (1 pg.)

Amendment in response to Office Action 6.6.03 (7 pgs.)

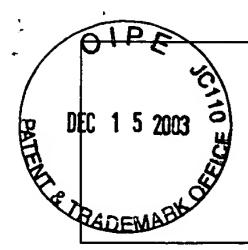
Postcard

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Acknowledge Receipt of enclosures By imprinting PTO Date Stamp and Returning to addressee

Date Mailed: September 8, 2003

Filing Date: June 18, 2001



EXPRESS MAIL NO. **EV333998579US**

COMMUNICATION

Address to: Commissioner for Patents PO Box 1450 Alexandria, VA 22313

| Attorney Docket | UCAL-234 | |
|----------------------|-------------------------|--|
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| First Named Inventor | CHEN | |
| Application Number | 09/884,875 | |
| Filing Date | June 18, 2001 | |
| Group Art Unit | 1636 | |
| Examiner Name | G. Leffers | |
| Title | METHODS FOR IDENTIFYING | |
| | MODULATORS OF NF-KB | |
| | ACTIVITY | |

Sir:

This Communication is responsive to the Notice of Non-Responsive Amendment dated December 5, 2003 for which a one-month period was given making this response due on or before January 5, 2004. Accordingly, this response is timely filed.

Attached please find the Response to Office Action dated June 6, 2003 as mailed to the U.S. Patent and Trademark Office on September 8, 2003.

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees to Deposit Account No. 50-0815, order number UCAL-234.

> Respectfully submitted, **BOZICEVIC, FIELD & FRANCIS LLP**

Date: Deambr 15,000

By: Ædward J. Bába

Registration No. 52,581

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400 Facsimile: (650) 327-3231

F:\DOCUMENT\UCAL\234\Communication.doc

DATE: December 15, 2003

EV333998579US

THE EXPRESS MAIL NUMBER OF THE DAY IS: EV333998579US
The person taking the Express Mail to the Post Office is: Alicia Silvestrini



EXPRESS MAIL CERTIFICATION

<u>Date of Deposit: 12/15/03</u> I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature Uhlvest

Date 12/15/03

| Atty. Docket No. | Serial Number | Description | Atty. | Fee |
|---------------------|--|---|-------|----------|
| STAN-330 | 09/135,238 | PTOL-85B in duplicate | JSK | \$1,630 |
| SMAR- 012CIP | 10/086,177 | Transmittal, Certified Copies of Canadian Patent Application Serial Nos. 2,335,109 & 2,305,036 | BEF | |
| UCAL-234 | 09/884,875 | Transmittal, Communication, Copy of Notice of Non-Compliant Amendment, Copy of Amendment filed 09/08/03 | EJB | |
| UCAL-275 | 10/444,633 | Transmittal, Fee Transmittal in duplicate, Copy of Notice to File Missing Parts, Executed Declaration, Power of Attorney by Assignee, Copy of Assignment being Filed Concurrently (1 Month Extension of Time) | PAB | \$120 |
| UCAL-275 | 10/444,633 | Recordation Cover Sheet <i>in duplicate,</i> Executed Assignment | PAB | \$40 |
| CONN-001 - | 09/780,752 | Transmittal, Interview Summary | PAB | |
| EPIT-001 / | EPIT-001- 10/638,210 Transmittal, Fee Transmittal in duplicate, Copy of Notice to File Missing Parts, Executed Declaration, Supplemental ADS | | JSK | · \$65 - |